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Electronic Patent Application Submission  
USPTO Use Only

EFS ID: 22942  
Application ID: 10064744  
Title of Invention: Contingent Convertible Financial Instruments  
First Named Inventor: James Birle  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2002-08-12  
Effective Receipt Date: 2003-02-07  
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Confirmation Number: 6609  
Attorney Docket Number: MLCO.P-001  
Digital Certificate Holder: cn=Carl Oppedahl, ou=Registered Attorneys, ou=Patent and Trademark Office, ou=Department of Commerce, o=U.S. Government, c=US  
Certificate Message Digest: sFCEcKn3tJKpLrPIM2du+g==





# TRANSMITTAL FORM

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Submission Type: Information  
Disclosure Statement

Application Number: 10/064,744  
Attorney Docket Number: MLCO.P-001

## Contingent Convertible Financial Instruments

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### SUBMITTED BY

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Electronic Signature Mark: /s/ Date Signed: 20030207

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### Attached Files:

us-information-disclosure-statement


mlco001ids.xml

**Comments:**

# Electronic Information Disclosure Statement



## Contingent Convertible Financial Instruments

Application:   
10/064744

Confirmation: 6609

Applicant(s): James Birle

Docket Number: MLCO.P-001







Group Art Unit: 3624


Examiner:

search string: ( 5062666 or 5440106 or 5970479 or 6012925 or 6105007 or 6321212 or 6418419 or 20010056392 or 20020099640 ).pn.

### US Patent Documents



**Note: Applicant is not required to submit a paper copy of cited US Patent Documents**

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
	P01	5062666	1991-11-05		Mowrey, et al.		
	P02	5440106	1995-08-08		Duck, Thomas S.		
	P03	5970479	1999-10-19		Shepherd, Ian K.		
	P04	6012925	2000-01-11		Kelly, et al.		
	P05	6105007	2000-08-15		Norris, Jeffrey A.		
	P06	6321212	2001-11-20		Lange, Jeffrey		

P07	6418419	2002-07-09		Nieboer, et al.
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## Published Applications

**Note: Applicant is not required to submit a paper copy of cited US Patent Documents**

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
	U01	20010056392	2001-12-27		Daughtery, Vergil L. III		
	U02	20020099640	2002-07-25		Lange, Jeffrey		

## Signature

Examiner Name	Date